

OPEN

Received: 15 August 2016 Accepted: 04 November 2016 Published: 02 December 2016

Bonobo personality traits are heritable and associated with vasopressin receptor gene 1a variation

Nicky Staes^{1,2,3}, Alexander Weiss^{4,5}, Philippe Helsen^{1,2}, Marisa Korody⁶, Marcel Eens² & Jeroen M.G. Stevens^{1,2}

Despite being closely related, bonobos and chimpanzees show remarkable behavioral differences, the proximate origins of which remain unknown. This study examined the link between behavioral variation and variation in the vasopressin 1a receptor gene (*Avpr1a*) in bonobos. Chimpanzees are polymorphic for a ~360 bp deletion (DupB), which includes a microsatellite (RS3) in the 5' promoter region of *Avpr1a*. In chimpanzees, the DupB deletion has been linked to lower sociability, lower social sensitivity, and higher anxiety. Chimpanzees and bonobos differ on these traits, leading some to believe that the absence of the DupB deletion in bonobos may be partly responsible for these differences, and to the prediction that similar associations between *Avpr1a* genotypes and personality traits should be present in bonobos. We identified bonobo personality dimensions using behavioral measures (Sociability_B, Boldness_B, Openness_B, Activity_B) and trait ratings (Assertiveness_R, Conscientiousness_R, Openness_R, Agreeableness_R, Attentiveness_R, Extraversion_R). In the present study we found that all 10 dimensions have nonzero heritabilities, indicating there is a genetic basis to personality, and that bonobos homozygous for shorter RS3 alleles were lower in Attentiveness_R and higher in Openness_B. These results suggest that variations in *Avpr1a* genotypes explain both within and between species differences in personality traits of bonobos and chimpanzees.

There is growing evidence that personality differences are associated with fitness outcomes^{1,2}. A remaining challenge is to identify proximate mechanisms that underlie personality variation and ultimate mechanisms that explain how this variation is maintained in populations³. With respect to the latter, mechanisms that have been suggested, include frequency dependent selection, mutation-selection balance, spatiotemporal variation in environmental factors, and trade-offs between alternative strategies^{1,4–8}. To test these scenarios, models should incorporate explicit genetic mechanisms, since the expected response to natural selection of these traits depends on their genetic structure⁹.

To determine the proportion of personality variation attributable to genetic factors, in contrast to non-genetic factors such as the environment or error, the heritability of personality traits is typically estimated using animal models¹⁰. Personality traits typically have heritabilities ranging from 0 to 60% in species, such as dumpling squid (*Euprymna tasmanica*)¹¹, yellow-bellied marmots (*Marmota flaviventris*)¹², American red squirrels (*Tamiasciurus hudsonicus*)¹³, rhesus macaques (*Macaca mulatta*)¹⁴, chimpanzees (*Pan troglodytes*)^{15,16} and humans (*Homo sapiens*)¹⁷ (for review see¹⁸). Further completing the picture of how genes and environment interact to produce behavioral phenotypes is difficult, but candidate genes with large behavioral effects have been identified¹⁹. In humans, variation in the serotonin (*HTR2A*) and dopamine (*DRD2* & *DRD4*) receptor genes has been linked with novelty seeking^{20,21} and variation in the serotonin transporter gene (5-*HTT*) has been linked with anxiety²².

¹Centre for Research and Conservation, Royal Zoological Society of Antwerp, Antwerp, Belgium. ²Behavioural Ecology & Ecophysiology Group, Department of Biology, University of Antwerp, Antwerp, Belgium. ³Center for Advanced Study of Human Paleobiology, Department of Anthropology, George Washington University, Washington DC, United States of America. ⁴Department of Psychology, School of Philosophy, Psychology and Language Sciences, The University of Edinburgh, United Kingdom. ⁵The Scottish Primate Research Group, United Kingdom. ⁶San Diego Zoo Institute for Conservation Research, California, United States of America. Correspondence and requests for materials should be addressed to N.S. (email: nstaes@gwu.edu)

	Assertiveness _R	Conscientiousness _R	Openness _R	Attentiveness _R	Agreeableness _R	Extraversion _R
Sociability _B	0.32*	-0.50**	0.18	0.17	0.14	0.18
Openness _B	-0.13	-0.30*	0.52**	-0.10	-0.10	0.33*
Boldness _B	0.06	-0.04	0.37*	0.21	0.16	0.16
Activity _B	-0.15	-0.12	0.07	0.02	-0.29	0.04

Table 1. Correlations between rated and coded personality dimensions. Spearman rank correlations. Significant effects in boldface. *significant at the 0.05 level; **significant at the 0.01.

In chimpanzees, variation in the tryptophan hydroxylase 2 (*TPH2*) gene is associated with neuroticism²³ and in rhesus macaques, monoamine oxidase A (*MAOA*) gene variation is linked with aggressiveness²⁴. In this study we focused on the gene coding for the vasopressin 1a receptor (*Avpr1a*). Length variations in the *Avpr1a* promoter region have been linked with behaviors related to sociability and anxiety in several mammalian species, including humans²⁵.

Bonobos ($Pan\ paniscus$) are an interesting species in which to study associations between Avpr1a and personality traits as they differ from chimpanzees in the frequency of a particular microsatellite in the $Avpr1a\ 5'$ promoter region. Whereas bonobos have three microsatellites (RS1, RS3 and STR1), the RS3 microsatellite is often missing in chimpanzees, as it is located in a ~360 bp region (DupB) that is deleted in a majority of chimpanzees 26,27 . This deletion was associated with lower scores, primarily in male chimpanzees, in Sociability 28 , and also Friendliness and Smart 29 , all personality traits derived from behavioral codings of affiliative behavior and/ or grooming. Associations were also found with personality dimensions derived from trait ratings. Chimpanzees with a DupB+ allele were rated higher on Conscientiousness but lower on Extraversion 16 , and DupB+ males scored higher on Conscientiousness and Dominance than females 30 . Finally, the DupB deletion was associated with a reduction in attentiveness to human social cues 31 .

Bonobos and chimpanzees are closely-related sister species³². We therefore expect that the presence and length variations of the RS3 microsatellite in bonobos serve similar functions in the regulation of personality traits. If so, this would support the suggestion that the DupB deletion is responsible for differences in social personality traits in these two species^{26,33}. This study's aim is therefore to determine the heritability of personality traits in captive bonobos³⁴ and, for any heritable trait, to test whether individual differences are associated with the RS3 length polymorphism in the *Avpr1a* gene. Personality traits were measured using trait ratings³⁴ and behavioral codings³⁵. The trait rating approach, which relies on asking humans familiar with individual bonobos to rate them on predefined traits yielded six dimensions: Assertiveness_R, Openness_R, Extraversion_R, Conscientiousness_R, Agreeableness_R, and Attentiveness_R³⁵. The behavioral codings approach, which relies on observing frequencies and durations of individual behaviors, identified four dimensions: Sociability_B, Openness_B, Boldness_B, and Activity_B, As age and sex are important predictors for variation in personality in great apes, including bonobos^{35–37}, we included both in our models.

Results

Correlations between rated and coded personality dimensions. Table 1 shows correlations between the personality dimensions derived from ratings and codings. Overall, factors were found to be largely independent. Two relatively strong and significant associations (p < 0.01) were found: a negative association between Sociability_B and Conscientiousness_R and a positive correlation between Openness_B and Openness_R.

Heritability of personality dimensions. Heritability estimates for personality dimensions using the intercept model ranged from 0.17 (Extraversion_R) to 0.31 (Openness_R) for rated dimensions and from 0.24 (Boldness_B) to 0.58 (Sociability_B) for coded dimensions (Table 2). Adding group, identity of the mother, age, sex and, in the case of coded dimensions, dominance rank to the models, led to lower heritability estimates that ranged from 0.08 (Assertiveness_R and Extraversion_R) to 0.19 (Attentiveness_R) for rated dimensions, and from 0.06 (Openness_B) to 0.13 (Sociability_B) for coded dimensions.

Relationship between Avpr1a genotype and personality. We identified 11 RS3 alleles that varied in length from 463 to 489 bp (Table 3). The mean allele length of 476 bp was used as a cut-off for the classification of RS3 genotype as short or long. As the shorter alleles are less frequent, and only two individuals were homozygous for short alleles, the classification resulted in two categories: individuals that have a short allele (codings N = 16; ratings N = 31) and individuals that have two long alleles (codings N = 27; ratings N = 81).

In linear mixed models, genotype significantly predicted Openness_B ($\chi^2(2)=8.20\ p=0.017)$ and Attentiveness_R ($\chi^2(2)=6.02\ p=0.049)$. Compared to individuals with at least one short allele, individuals homozygous for long alleles scored significantly lower on Openness_B ($\beta=-0.68$, SE = 0.26, 95% CI = -0.82; -0.36) and higher on Attentiveness_R ($\beta=0.615$, SE = 0.251, 95% CI = 0.44;0.86). No further genotype-personality associations were found: Sociability_B $\chi^2(2)=1.64$, p = 0.44; Boldness_B $\chi^2(2)=4.23$, p = 0.12; Activity_B $\chi^2(2)=1.04$, p = 0.60; Assertiveness_R $\chi^2(2)=2.50$, p = 0.29; Conscientiousness_R $\chi^2(2)=2.95$, p = 0.23; Openness_R $\chi^2(2)=4.30$, p = 0.12; Agreeableness_R $\chi^2(2)=2.29$, p = 0.32; Extraversion_R $\chi^2(2)=2.15$, p = 0.34 (see Table S1 for estimates of sex and age).

	Intercept model			Best fit model random effects					Fixed effects			
	h_0^2	95% CI	V _A	h ²	95% CI	V _A	V _R	V_{GROUP}	V _{MOTHER}	Sex	Age	Rank
Sociability _B	0.58	(0.16, 0.94)	0.67 ± 0.72	0.13	(0.01, 0.46)	0.13 ± 0.09	0.13 ± 0.09	0.38 ± 0.35	0.07 ± 0.05	-0.59 ± 0.58 *	/	3.29 ± 2.32*
Openness _B	0.40	(0.05, 0.82)	0.39 ± 0.14	0.06	(0.01, 0.50)	0.07 ± 0.04	0.21 ± 0.18	0.10 ± 0.08	0.08 ± 0.06	/	$-0.04 \pm 0.02***$	/
Boldness _B	0.24	(0.06, 0.54)	0.39 ± 0.19	0.09	(0.02, 0.40)	0.12 ± 0.09	0.07 ± 0.05	0.10 ± 0.08	0.52 ± 0.49	/	/	/
Activity _B	0.51	(0.11, 0.90)	0.49 ± 0.41	0.11	(0.02-0.63)	0.06 ± 0.04	0.36 ± 0.31	0.18 ± 0.15	0.06 ± 0.04	/	/	/
Assertiveness _R	0.30	(0.07-0.59)	0.41 ± 0.09	0.08	(0.02-0.22)	0.05 ± 0.02	0.50 ± 0.14	0.18 ± 0.12	0.06 ± 0.02	-2.71 ± 2.6 *	0.42 ± 0.24***	
Conscientiousness _R	0.21	(0.05-0.42)	0.16 ± 0.14	0.13	(0.05-0.42)	0.13 ± 0.06	1.04 ± 0.24	/	/	/	/	
Openness _R	0.31	(0.07-0.59)	0.41 ± 0.28	0.09	(0.03-0.27)	0.09 ± 0.05	0.67 ± 0.34	0.10 ± 0.25	0.08 ± 0.25	/	$-0.05 \pm 0.01***$	
Attentiveness _R	0.28	(0.06-0.54)	0.20 ± 0.11	0.19	(0.03-0.40)	0.22 ± 0.18	0.52 ± 0.20	0.17 ± 0.13	0.11 ± 0.07	/	$-0.02 \pm 0.01^*$	
Agreeableness _R	0.25	(0.06-0.49)	0.23 ± 0.13	0.11	(0.03-0.38)	0.08 ± 0.06	0.59 ± 0.19	0.09 ± 0.07	0.06 ± 0.04	/	/	
Extraversion _R	0.17	(0.04-0.32)	0.16 ± 0.11	0.08	(0.03-0.46)	0.08 ± 0.05	0.66 ± 0.26	0.06 ± 0.04	0.08 ± 0.06	$-0.43 \pm 0.31^*$	$-0.03 \pm 0.01**$	

Table 2. Heritability estimates for personality dimensions. h_0^2 = heritability based on intercept model. h^2 = heritability corrected for environmental factors. V_A = additive genetic variance, V_R = residual variance, V_{GROUP} = group variance, V_{MOTHER} = variance explained by mother. For Sex the effect size is given for males with significance level. The effect of Rank was only tested for dimensions derived from codings. *Significant at the 0.05 level **Significant at the 0.01 level. ***Significant at the 0.001 level.

	Ra	atings	Codings		
Allele (bp)	Frequency	Percentage (%)	Frequency	Percentage (%)	
463	7	0.03	3	0.03	
465	25	0.11	14	0.16	
469	2	0.01	0	0.00	
477	10	0.04	5	0.06	
479	26	0.12	9	0.10	
481	37	0.16	12	0.14	
483	14	0.06	10	0.12	
484	40	0.18	18	0.21	
485	54	0.24	13	0.15	
487	1	0.00	1	0.01	
489	10	0.04	1	0.01	

Table 3. RS3 allele frequencies in two bonobo populations for whom personality traits were assessed.

Discussion

Personality traits showed moderate heritabilities, indicating that variation in all traits can be partly attributed to genetic variation. Moreover, individuals' Avpr1a genotype was responsible for some of this variation in Attentiveness_R and Openness_B.

Coded and rated personality dimensions were correlated in ways that would be expected based on the definitions of the dimensions, and the correlations were similar in size and direction to correlations described in a previous study that compared rated and coded personality factors in wild bonobos³⁷. The strongest correlation was between the Openness dimensions derived from codings and ratings. However, Openness_R was also positively associated with Boldness_B. This may indicate a difference in specificity between the rating method and the behavioral assessments: whereas our behavioral assays included variables related to both novelty seeking and threatening stimuli, the questionnaire was limited to items that do not necessarily distinguish between these contexts³⁸. Individuals that approach novel objects and environments as well as threats could therefore possibly be rated more curious, active, and inquisitive. It is therefore likely that both methods are measuring slightly different aspects of Openness/Boldness. Conscientiousness_R showed a strong negative association with Sociability_B, which is in line with the strong negative relationship between Conscientiousness_R and frequencies of grooming given and received, which are high in individuals that score high on Sociability_B, ^{35,36}.

Despite differences in the number of bonobos for which we had codings and ratings, the heritability estimates were comparable, and in line with estimates ranging from 0 to 0.6 found in animal personality studies¹¹⁻¹⁸. Adding mother ID and group as random effects to the model significantly improved the heritability models for all of the personality dimensions except Conscientiousness_R. Adding group and mother ID as random, and sex, age and dominance rank as fixed effects, in general attenuated the heritability estimates of all traits, meaning that our model overestimated the proportion of additive genetic variance when not taking these factors into account. The residual variance term was relatively high in most models, indicating that a large proportion of the variance in personality dimensions is due to random factors other than those included in our models. Other factors that could explain the higher similarity in bonobo personality dimensions, include rearing history³⁹, rank of the mother or her presence in the group⁴⁰, and group size⁴¹. However, as these measures were hard to quantify in a

standardized way for the individuals included in this study, and the addition of too many random factors to the model could lead to unstable variance component estimates⁴², we chose to not incorporate them in our models.

A previous meta-analysis on genetics of personality traits in several species has indicated that different personality traits may be characterized by different heritabilities, for example, the heritability of exploratory behavior is higher than the heritability of other traits, like aggression and activity¹⁸. However, this does not appear to be the case for bonobos as the heritability estimates for Openness_R and Openness_R are quite low and the heritability estimates for Sociability_B, Conscientiousness_R and Attentiveness_R are higher, although the difference is modest. Furthermore, as environmental factors and selection pressures differ for captive and wild populations, the heritability estimates for personality traits in our study cannot directly be extrapolated to the wild. This stresses the importance of studies such as this one in wild populations^{10,11,13}. Nonetheless, our results indicate a genetic basis for personality in captive bonobos, and are encouraging for those who seek to identify what genetic variants are associated with personality variation.

In line with our previous study²⁶, 11 Avpr1a alleles were found in the bonobos studied here, with a total length variation of 26 bp between the shortest and longest allele. Avpr1a genotype was associated with Attentiveness_R. Bonobos with two long alleles scored higher on this trait than conspecifics with at least one short allele. Attentiveness_R describes high levels of intelligence, including "being highly attentive to both social and non-social cues". As the chimpanzee Conscientiousness dimension appears to have split up into Attentiveness_R and Conscientiousness_R in bonobos, we cannot directly compare our results to previous Avpr1a and personality associations in chimpanzees^{16,30,34}. However, as Attentiveness_R overlaps with the chimpanzee Conscientiousness factor, our results support the association between longer Avpr1a alleles and higher Conscientiousness found in chimpanzees¹⁶. These results potentially indicate that the association between Avpr1a and Conscientiousness found in chimpanzees is more driven by the item loadings that make up the bonobo Attentiveness_R dimension.

Furthermore, based on the description of the Attentiveness_R factor in bonobos, it is likely that zookeepers who frequently interact with their animals, rate bonobo Attentiveness_R based on their responsiveness during training and feeding sessions. In line with this, experimental testing in male chimpanzees has shown that individuals with a DupB+ allele are more responsive to socio-communicative cues of humans compared to males homozygous for the DupB deletion³¹. Bonobos are also known to outperform chimpanzees in tasks related to theory of mind⁴³ and are better at gaze-following⁴⁴. Our results indicate that variation in Avpr1a may be associated with within-species differences in social responsiveness, and potentially with differences between bonobos and chimpanzees. However, to conclude that this is the case requires that further evidence, using identical measures to assess social responsiveness, is collected in bonobos and chimpanzees.

The second significant association found was between RS3 genotype and Openness_B, a personality trait derived from codings that comprised behaviors related to curiosity and exploration³⁵. Although this factor was similar to Openness_R in its composition and was strongly and positively correlated with it, the effect was only significant for the coded factor. As the sample for the coded factor was much smaller than that for the rated factor, this may be a false positive result⁴⁵. However, as we noted earlier, it is also possible that these personality dimensions measure somewhat different aspects of Openness or represent a different hierarchical level of the larger Openness domain. If not a false positive, then it is consistent with results from studies in rodents, where vasopressin is known to promote anxiety-like behaviors^{46,47} leading to a reduction in exploratory behavior⁴⁶⁻⁴⁸.

If in bonobos, as in humans, longer RS3 alleles are associated with increased transcription of *Avpr1a*⁴⁹, this may promote anxiety related behaviors and therefore reduce levels of exploratory behavior that defines Openness_B. In chimpanzees, a recent study found an association between the presence of DupB and an increase in anxiety-related scratching⁵⁰. Behavioral studies further indicate that anxiety levels are higher in bonobos than in chimpanzees, as bonobos are more risk averse⁵¹ and neophobic in non-social contexts⁵². Anecdotal evidence also suggests that bonobos are more sensitive to captivity-induced stress than are chimpanzees⁵³. Combined, these studies support our interpretation of our finding that higher frequencies of DupB+ alleles in bonobos are related to their lower Openness_B via increased levels of anxiety. Again, a study that uses comparable measures for anxiety and/or Openness is needed to make claims about the actual size of interspecies differences in these traits.

By providing evidence for associations between RS3 microsatellite length variations and individual variation in bonobo personality this study contributes to our knowledge of what proximate mechanisms are shaping stable individual behavioral differences in this species. As both the association with Attentiveness_R and Openness_B are consistent with previous findings on genotype associations with personality dimensions and behaviors in chimpanzees^{16,31,50}, our results support our hypothesis that differences in Avpr1a partly explain differences in the behaviors and personalities of bonobos and chimpanzees.

Methods

Behavioral codings. Coding data were collected from 2012 to 2014 for 46 adolescent and adult captive bonobos (28 females and 18 males aged between 7 and 63 years old) housed in 6 European zoological parks: Planckendael in Mechelen-Belgium, Apenheul in Apeldoorn-the Netherlands, Twycross Zoo World Primate Center in the United Kingdom, Wuppertal Zoo in Germany, Frankfurt Zoo in Germany and Wilhelma Zoological and Botanical Garden in Stuttgart-Germany. All groups contained juveniles and/or infants, which were excluded from the analysis. Data were collected by NS and 8 students under her supervision. Inter-observer reliabilities reached a mean of r = 0.86 across all observers, and so the observations were highly reliable ⁵⁴. The methods of observation and data extraction were identical in all zoos (for details see ³⁶).

Data collection: naturalistic observations. Behaviors were coded using an extensive ethogram. Behavioral variables and their definitions are shown in Table S2. The total amount of data collected included 1666.15 hours of focal observations (mean 32.04 hours per individual), 10472 group scans (mean 616 per

Factor	Adjectives loading on to factor
Sociability _B	+ Grooming frequencies $+$ Grooming density $+$ Neighbors $+$ Grooming diversity $-$ Latency to approach puzzles/durian $-$ autogroom
Openness _B	+ Approaches to puzzles/others $+$ Play $+$ Proximity to puzzles $+$ Taste pasta
Boldness _B	+ Approaches to leopard $+$ displays to leopard $+$ proximity to leopard $+$ Aggression received
Activity _B	+ Self-scratching — Activity

Table 4. The behavioral contents of the coded personality dimensions.

Factor	Adjectives loading on to factor
Assertiveness _R	+ Independent $+$ Dominant $+$ Cool $+$ Stable $+$ Decisive $+$ Persistent $-$ Excitable $-$ Dependent $-$ Submissive $-$ Vulnerable $-$ Fearful $-$ Timid $-$ Anxious
Conscientiousness _R	+ Gentle + Predictable - Impulsive - Manipulative - Reckless - Defiant - Erratic - Jealous - Irritable - Stingy - Aggressive - Bullying
Openness _R	+ Active + Playful + Inquisitive + Inventive + Imitative + Innovative + Curious - Lazy - Conventional
Attentiveness _R	+ Intelligent $-$ Unperceptive $-$ Distractable $-$ Thoughtless $-$ Clumsy $-$ Disorganized
Agreeableness _R	+ Friendly $+$ Affectionate $+$ Protective $+$ Sympathetic $+$ Helpful $+$ Sociable $+$ Sensitive
Extraversion _R	– Individualistic – Autistic – Depressed – Solitary

Table 5. The adjectival contents of the rated personality dimensions.

individual) and 2132 h of recording all occurrences of aggressive behavior (mean 39.5 hours per individual). Behavioral observations were coded using the Observer (Noldus version XT 10, the Netherlands).

Data collection: experimental tests. Along with observing naturally occurring behaviors, we conducted eight group experiments, adapted from work on chimpanzees⁵⁵. Experimental variables and their definitions are shown in Table S3. We used two predator experiments (snake and leopard), two novel food experiments (durian fruit and blue dyed pasta) and four puzzle feeder experiments (hanging barrel, barrel mesh, tubes and reel and feed). Pictures and details of behavioral measures can be found in Figs S1–S8. All experiments were captured (Canon Legria FS406, Japan) and recordings were coded using Observer Video-Pro (Noldus version XT 10, the Netherlands). Data recording started as soon as the group had access to the experiment or stimuli and was stopped after 30 minutes. All group members had access to the stimuli at the same time. In four out of six groups (Frankfurt, Twycross, Stuttgart and Apenheul), group compositions differed between behavioral tests due to artificial fission-fusion systems in these zoos. The order of the experiments was randomized and there were at least 3 days between experiments.

Intraclass correlations and factor analysis of behavioral codings. As the definition of personality requires stability of traits between individuals across time, all variables were tested for temporal consistency and therefore data were collected in two consecutive years for each group (Table S4). Intraclass correlations were used to determine temporal stability and only variables that were stable were used to determine personality factor structure. Factor analysis revealed four factors: Sociability_B, Boldness_B, Openness_B and Activity_B (Table 4). Details on each item's loading onto each dimension can be found in Table S6.

Observer trait ratings. Trait ratings were obtained from people familiar with the bonobos, which were primarily zookeepers. These ratings were collected for 154 individuals (83 females, 71 males, age ranging 2 to 63 years), comprising about 80% of the captive population in Europe and the United States at the time ratings were collected (Table S5). Trait ratings were made on the Hominoid Personality Questionnaire (HPQ)³⁴, which consists of 54 personality descriptive adjectives, each paired with a description that sets the adjective in the context of behavior. The HPQ instructs raters to make ratings on a 7-point scale (1 = "displays total absence or negligible amounts of the trait", 7 = "displays extremely large amounts of the trait"). Interrater reliabilities of the adjectives were acceptable and consistency was found up to six years after the first wave of data collection³⁵. Factor analysis revealed six personality factors: Assertiveness_R, Conscientiousness_R, Openness_R, Attentiveness_R, Agreeableness_R and Extraversion_R (Table 5). Details on item loadings for each factor can be found in Table S7.

Correlations between rated and coded personality dimensions. Ratings and codings were available for 44 individuals (18 males, 26 females), enabling us to assess the Spearman rank correlations between the personality dimensions derived from coding and from ratings. Because codings were collected between 2011 and 2014, we only used ratings collected in 2012 during the second wave of data collection.

Genotyping. We collected DNA samples for 113 subjects (62 females, 51 males, age ranging 2 to 62 years) for whom personality data were available. Behavioral coding data were available for 43 genotyped subjects (26 females, 17 males, age ranging 7 to 62 years) and trait ratings data were available for 112 genotyped subjects (61 females, 51 males, age ranging 2 to 61 years). *Avpr1a* genotyping was conducted by NS and PH in 2011–2012 and genotypes were unknown to researchers involved in the collection of codings and trait ratings to ensure that

observations were not biased by knowledge of the individual genotypes. We obtained DNA from hair, tissue, or blood samples from the Centre for Research and Conservation at the Royal Zoological Society of Antwerp, Belgium (N=54) and the institute of human genetics at the University of Freiburg (N=5). The San Diego Zoo Institute for Conservation Research (California, United States) PCR amplified DNA that was banked in their Frozen Zoo from 54 bonobos for analysis in their genetics lab. Human DNA from the main investigators and negative control samples were included in all procedures to test for potential contamination during analysis. Approximately 20% of the samples were re-analyzed at least once to ensure correct genotyping. Studbook information was used to validate inheritance patterns of the alleles in this study.

RS3 genotyping was completed as reported in Staes *et al.*²⁶ starting with an amplification of the RS3 microsatellite using a fluorescent labelled (6-FAM) forward primer: 5'-TTT TTC AGA GGG ATC CTG-3' and reverse: 5'-GGA ATG AGT TAA CAA ATG TTG TAG-3'. Each $25\,\mu\text{L}$ PCR reaction mix contained 1X QIAGEN *Taq* Buffer advanced, $200\,\mu\text{M}$ dNTP's, $1.25\,\text{U}$ 5 PRIME *Taq* DNA polymerase ($5\,\text{U}/\mu\text{L}$), $0.5\,\mu\text{M}$ of both primers and approximately 45ng genomic DNA. PCR started with an initial incubation at $95\,^{\circ}\text{C}$ ($5\,\text{min}$), followed by $35\,\text{cycles}$ at $95\,^{\circ}\text{C}$ ($30\,\text{s}$), $54\,^{\circ}\text{C}$ ($40\,\text{s}$), $72\,^{\circ}\text{C}$ ($40\,\text{s}$) and a final extension period of $10\,\text{min}$ at $72\,^{\circ}\text{C}$. Individuals were genotyped using automated capillary electrophoresis (Macrogen Inc., South Korea).

Statistical analysis. Estimating heritability with MCMCglmm. To estimate heritabilities of the personality dimensions we fit linear variance component models with the MCMCglmm package in R (version 2.15.2, R Foundation for Statistical Computing, R Development Core Team 2009) ⁵⁶. This function fits an animal model in a Bayesian framework. The hypothesis to be tested is that more closely-related individuals, who are more genetically similar, will have more similar personality phenotypes than more distantly-related individuals. These models can also take into account the potential role of common environmental effects that could lead individuals to be more similar to one another in their phenotype than expected. Pedigree information of the bonobo population was used to evaluate covariance between phenotypic and genetic similarity. All variance components models were run with a minimum of 1,000,000 iterations and burn-in periods of 100,000. Convergence of our model was tested using the Heidelberg stationary test, where p-values must exceed 0.05 and by using autocorrelations, which had to be smaller than 0.1 for the first lag⁴². Posterior modes of heritability estimates are reported with their credible intervals.

Sex and age were entered as fixed effects and their significance was assessed from the posterior distributions using the highest-posterior-density function (HPD interval, library coda in R)⁵⁶. When the HPD interval did not include zero, the factor was considered significant. Age was entered as a continuous variable. For personality factors derived from behavioral observations, dominance rank was added as an additional fixed effect. Dominance rank was measured by computing normalized David's scores for each individual^{35,36,57}. David's scores use dyadic dominance proportions to determine dominance scores, or cardinal ranks, for each individual based on the proportions of wins and losses in agonistic encounters. Winning or losing an agonistic encounter was specified by whether the individual would flee upon aggression. We then standardized the David's scores for each group by dividing them by the number of group members. As the inclusion of fixed effects can inflate heritability estimates and reduces comparability between studies⁵⁸, we report the basic intercept model without additional factors and the full model with only significant effects included. Zoo and identity of the mother were included as random effects in models if they decreased the model DIC value by at least two units.

Estimating Avpr1a genotype effects with linear mixed models. We estimated genotype effects using linear mixed effects models with the lmekin function of the R package coxme⁵⁹ in R (version 3.1.0; R Core Team 2015). The lmekin function enabled us to incorporate a kinship matrix into the model, thus allowing us to correct for the effect of relatedness. Personality traits were treated as response variables and sex, genotype, and their interaction were considered as fixed effects. Genotype was entered as a classification of short versus long RS3 alleles, using the mean allele length of the population as a cutoff for the classification⁴⁹. As only two subjects were homozygous for the short form allele, we created two genotype groups: individuals that have one or two short alleles (SS + SL) and individuals that are homozygous for two long alleles (LL). Age was entered as a fixed effects covariate and group as a random intercept. The statistical significance of genotype effects were tested in all linear mixed models only when the full model was significant as indicated by a likelihood ratio test. We assessed the significance of the full model by comparing it with a null model that lacked all fixed effects terms involving genotype. This comparison addresses multiple testing issues which otherwise would arise⁶⁰. To test for the stability of the models we excluded groups one at a time and compared the estimates derived with those obtained from the full model. This revealed the model to be moderately stable. We inspected qq-plots and plots of residuals against fitted values to check whether the assumptions of normally distributed and homogeneous error variance were fulfilled. These did not indicate severe violations of these assumptions.

Ethical statement. No animals were sacrificed or sedated for the purpose of this study. All European DNA samples were provided from existing DNA databanks that collect and store samples following BIAZA guidelines that state that some material may be obtained opportunistically during health checks or other recognized husbandry procedures. Most of these samples were hair samples that were collected non-invasively. In case of blood samples, we followed the BIAZA guidelines that state that no more than 10% of samples taken for veterinary purposes can be used for secondary research purpose. Samples from San Diego Zoo animals were collected opportunistically during routine veterinary checks and approved by the SDZG IACUC (assurance# 12-023). Additional samples from other USA zoos were also collected opportunistically at AZA accredited facilities for population management purposes and are not subject to IACUC approval. Human DNA from the main investigators (NS and JMGS) was acquired non-invasively by use of buccal swabs. As the samples were collected non-invasively and only for the

purpose of methodological validation, with no intent to interpret or publish further results regarding these samples, the Scientific Advisory Board of the Royal Zoological Society of Antwerp waived the requirement for human subjects approval for human tissue collection and use in this study. This research was approved by the University of Antwerp (Belgium) and endorsed by the European Breeding Program for bonobos.

References

- 1. Boon, A. K., Reale, D. & Boutin, S. The interaction between personality, offspring fitness and food abundance in North American red squirrels. *Ecol. Lett.* **10**, 1094–1104 (2007).
- 2. Smith, B. R. & Blumstein, D. T. Fitness consequences of personality: a meta-analysis. Behav. Ecol. 19, 448-455 (2008).
- 3. Dingemanse, N. & Réale, D. What is the evidence that natural selection maintains variation in animal personalities? In *Animal personalities* (eds Carere, C. & Maestripieri, D.) 201–220 (The University of Chicago Press, 2013).
- 4. Nettle, D. The evolution of personality variation in humans and other animals. Am. Psychol. 61, 622-631 (2006).
- 5. Sih, A. & Bell, A. M. Insights for Behavioral Ecology from Behavioral Syndromes. Adv. Study Behav. 38, 227-281 (2008).
- Réale, D., Dingemanse, N. J., Kazem, A. J. & Wright, J. Evolutionary and ecological approaches to the study of personality. *Philos. Trans. R. Soc. Lond. B. Biol. Sci.* 365, 3937–3946 (2010).
- 7. Penke, L., Denissen, J. J. A. & Miller, G. F. The evolutionary genetics of personality. Eur. J. Pers. 21, 549-587 (2007).
- 8. Dall, S. R. X., Houston, A. I. & McNamara, J. M. The behavioural ecology of personality: consistent individual differences from an adaptive perspective. *Ecol. Lett.* 7, 734–739 (2004).
- 9. Van Oers, K., de Jong, G., van Noordwijk, A. J., Kempenaers, B. & Drent, P. J. Contribution of genetics to the study of animal personalities: a review of case studies. *Behaviour* 142, 1185–1206 (2005).
- Visscher, P. M., Hill, W. G. & Wray, N. R. Heritability in the genomics era concepts and misconceptions. Nat. Rev. Genet. 9, 255–266 (2008).
- 11. Sinn, D. L., Apiolaza, L. A. & Moltschaniwskyj, N. A. Heritability and fitness-related consequences of squid personality traits. *J. Evol. Biol.* 19, 1437–1447 (2006).
- 12. Petelle, M. B., Martin, J. G. & Blumstein, D. T. Heritability and genetic correlations of personality traits in a wild population of yellow-bellied marmots (*Marmota flaviventris*). J. Evol. Biol. doi: 10.1111/jeb.12700 (2015).
- 13. Taylor, R. W. *et al.* Low heritabilities, but genetic and maternal correlations between red squirrel behaviours. *J. Evol. Biol.* **25**, 614–624 (2012).
- 14. Brent, L. J. et al. Personality traits in rhesus macaques (Macaca mulatta) are heritable but do not predict reproductive output. Int. J. Primatol. 35, 188–209 (2014).
- Weiss, A., King, J. E. & Figueredo, A. J. The heritability of personality factors in chimpanzees (Pan troglodytes). Behav. Genet. 30, 213–221 (2000).
- Wilson, V. et al. Chimpanzee personality and the arginine vasopressin receptor 1A genotype. Behav. Genet. doi: 10.1007/s10519-016-9822-2 (In press).
- 17. Bouchard, T. J. J. & Loehlin, J. C. Genes, evolution and personality. Behav. Genet (2001).
- 18. van Oers, K. & Sinn, D. L. Quantitative and molecular genetics of animal personality In *Animal personalities* (eds Carere, C. & Maestripieri, D.) 149–200 (The University of Chicago Press, 2013).
- 19. Fitzpatrick, M. J. et al. Candidate genes for behavioural ecology. Trends Ecol. Evol. 20, 96-104 (2005).
- 20. Heck, A. et al. Investigation of 17 candidate genes for personality traits confirms effects of the HTR2A gene on novelty seeking. Genes brain behav. 8, 464–472 (2009).
- 21. Noble, E. P. et al. D2 and D4 dopamine receptor polymorphisms and personality. Am. J. Med. Genet. B Neuropsychiatr. Genet. 81, 257–267 (1998).
- 22. Lesch, K. P. *et al.* Association of anxiety-related traits with a polymorphism in the serotonin transporter gene regulatory region. *Science* **274**, 1527–1531 (1996).
- 23. Hong, K. W. *et al.* Polymorphism of the tryptophan hydroxylase 2 (TPH2) gene is associated with chimpanzee neuroticism. *PLoS One* **6**, e22144 (2011).
- 24. Newman, T. K. *et al.* Monoamine oxidase A gene promoter variation and rearing experience influences aggressive behavior in rhesus monkeys. *Biol. Psychiatry* **57**, 167–172 (2005).
- 25. Caldwell, H. K., Lee, H. J., Macbeth, A. H. & Young, W. S., 3rd. Vasopressin: behavioral roles of an "original" neuropeptide. *Prog. Neurobiol.* 84, 1–24 (2008).
- 26. Staes, N. et al. Oxytocin and vasopressin receptor gene variation as a proximate base for inter- and intraspecific behavioral differences in bonobos and chimpanzees. PLoS One 9, e113364, (2014).
- 27. Donaldson, Z. R. *et al.* Evolution of a behavior-linked microsatellite-containing element in the 5' flanking region of the primate AVPR1A gene. *BMC Evol. Biol.* **8**, 180 (2008).
- 28. Staes, N. et al. Chimpanzee sociability is associated with vasopressin (Avpr1a) but not oxytocin receptor gene (OXTR) variation. Horm. Behav. 75, 84–90 (2015).
- 29. Anestis, S. F. et al. AVPR1A variation in chimpanzees (*Pan troglodytes*): Population differences and association with behavioral style. *Int. J. Primatol.* 35, 305–324 (2014).
- 30. Hopkins, W. D., Donaldson, Z. R. & Young, L. J. A polymorphic indel containing the RS3 microsatellite in the 5' flanking region of the vasopressin V1a receptor gene is associated with chimpanzee (Pan troglodytes) personality. *Genes brain behav.* 11, 552–558 (2012).
- 31. Hopkins, W. D. et al. Genetic influences on receptive joint attention in chimpanzees (Pan troglodytes). Sci. Rep. 4, 3774 (2014).
- 32. Prado-Martinez, J. et al. Great ape genetic diversity and population history. Nature 499, 471-475 (2013).
- 33. Hammock, E. A. D. & Young, L. J. Microsatellite instability generates diversity in brain and sociobehavioral traits. *Science* **308**, 1630 (2005).
- 34. Weiss, A. et al. Personality in bonobos. Psychol. Sci. 26, 1430–1439 (2015).
- 35. Staes, N. The role of vasopressin (Avpr1a) and oxytocin (OXTR) receptor gene variation as a proximate base for inter- and intraspecific differences in personality in bonobos (Pan paniscus) and chimpanzees (Pan troglodytes). PhD thesis, Universiteit Antwerpen (2016)
- 36. Staes, N., Eens, M., Weiss, A. & Stevens, J. M. G. Bonobo personality: age and sex effects and links with behavior and dominance in *Bonobos: unique in mind brain and behavior* (eds Hare, B. & Yamamoto, S.) (Oxford University Press, In Press).
- 37. Garai, C., Weiss, A., Arnaud, C. & Furuichi, T. Personality in wild bonobos (Pan paniscus). Am. J. Primatol., doi: 10.1002/ajp.22573 (2016).
- 38. Carter, A. J., Marshall, H., Heinsohn, R. & Cowlishaw, G. How not to measure boldness: novel object and antipredator responses are not the same in wild baboons. *Anim. Behav.* 84, 603–609 (2012)
- 39. Freeman, H. D., Weiss, A. & Ross, S. R. Atypical early histories predict lower extraversion in captive chimpanzees. *Dev. Psychobiol.*, doi: 10.1002/dev.21395 (2016).
- 40. Surbeck, M., Mundry, R. & Hohmann, G. Mothers matter! Maternal support, dominance status and mating success in male bonobos (Pan paniscus). *Proc. Biol. Sci.* 278, 590–598 (2011).

- 41. Weiss, A. & King, A. J. Searching for genetic and environmental contributions to personality and happiness in chimpanzees (Pan troglodytes) In *Biology of Personality and Individual Differences* (ed Canli, T.) (Guilford Press, 2006).
- 42. de Villemereuil, P. Estimation of a biological trait heritability using the animal model. How to use the MCMCglmm R package (2012).
- 43. Herrmann, E., Hare, B., Call, J. & Tomasello, M. Differences in the cognitive skills of bonobos and chimpanzees. *PLoS One* 5, e12438 (2010).
- 44. Kano, F. & Call, J. Cross-species variation in gaze following and conspecific preference among great apes, human infants and adults. *Anim. Behav.* 91, 137–150 (2014).
- 45. Chabris, C. F. et al. Most reported genetic associations with general intelligence are probably false positives. *Psychol. Sci.* 23, 1314–1323 (2012).
- 46. Pitkow, L. et al. Facilitation of affiliation and pair-bond formation by vasopressin receptor gene transfer into the ventral forebrain of a monogamous vole. J. Neurosci. 21, 7392–7396 (2001).
- 47. Beiderbeck, D. I., Neumann, I. D. & Veenema, A. H. Differences in intermale aggression are accompanied by opposite vasopressin release patterns within the septum in rats bred for low and high anxiety. Eur. J. Neurosci. 26, 3597–3605 (2007).
- 48. Egashira, N. et al. Impaired social interaction and reduced anxiety-related behavior in vasopressin V1a receptor knockout mice. Behav. Brain Res. 178, 123–127 (2007).
- 49. Knafo, A. et al. Individual differences in allocation of funds in the dictator game associated with length of the arginine vasopressin 1a receptor RS3 promoter region and correlation between RS3 length and hippocampal mRNA. Genes brain behav. 7, 266–275 (2008).
- Latzman, R. D., Young, L. J. & Hopkins, W. D. Displacement behaviors in chimpanzees (Pan troglodytes): A neurogenomics investigation of the RDoC Negative Valence Systems domain. Psychophysiology 53, 355–363 (2016).
- 51. Heilbronner, S. R., Rosati, A. G., Stevens, J. R., Hare, B. & Hauser, M. D. A fruit in the hand or two in the bush? Divergent risk preferences in chimpanzees and bonobos. *Biol. Lett.* 4, 246–249 (2008).
- 52. Herrmann, E., Hare, B., Cissewski, J. & Tomasello, M. A comparison of temperament in nonhuman apes and human infants. *Dev Sci* 14, 1393–1405 (2011).
- 53. Tratz, V. E. & Heck, H. Der afrikanische Anthropoide "Bonobo": Eine neue Menschenaffengattung. Säugetierkundliche Mitteilungen 2, 97–101 (1954).
- 54. Martin, P. R. & Bateson, P. P. G. Measuring Behaviour: An Introductory Guide. (Cambridge University Press, 1993).
- 55. Massen, J., Antonides, A., Arnold, A.-M.K., Bionda, T. & Koski, S. E. A behavioral view on chimpanzee personality exploration tendency, persistence, boldness and tool-orientation measured with group experiments. *Am. J. Primatol.* 75, 947–958 (2013).
- Hadfield, J. D. MCMC methods for multi-response generalized linear mixed models: the MCMCglmm R package. J. Stat. Softw. 33
 (2010).
- 57. de Vries, H., Stevens, J. M. G. & Vervaecke, H. Measuring and testing the steepness of dominance hierarchies. *Anim. Behav.* 71, 585–592 (2006).
- 58. Wilson, A. J. Why h2 does not always equal V A/V P? J. Evol. Biol. 21, 647-650 (2008).
- 59. Therneau, T. M. coxme: Mixed Effects Cox Models. R package version 2.2-5 (2015).
- 60. Forstmeier, W. & Schielzeth, H. Cryptic multiple hypotheses testing in linear models: overestimated effect sizes and the winner's curse. *Behav. Ecol. Sociobiol.* **65**, 47–55 (2011).

Acknowledgements

We are grateful to the director and staff of the Royal Zoological Society of Antwerp (RZSA) for their support in this study and the staff of the Centre for Research and Conservation (CRC) for interesting suggestions and discussions. We thank all institutes for help with providing samples, more specifically: the CRC at the RZSA (Belgium) and the San Diego Zoo institute for Conservation Research in California (United States). Special thanks go to all the zoological and research institutes who participated in the project to collect personality data, either by filling in questionnaires or by hosting us for behavioral data collection. We also thank all the students that were involved in data collection: Adriana Solis (University of Groningen), Annemieke Podt, Sanne Roelofs, Wiebe Rinsma, Linda Jaasma, Marloes Borger and Martina Wildenburg (all University of Utrecht). Thanks to Dr. Andrey Verendeev, Dr. Aida Gómez-Robles and Dr. Rachel Jacobs for proofreading the manuscript. Special thanks go to Dr. Roger Mundry for help with statistical analysis. We thank the Flemish Government for structural support of the CRC of the RZSA and the University of Antwerp, who guided this project.

Author Contributions

N.S., J.M.S. and M.E. developed the study and designed the experimental set-up. N.S., P.H. and M.K. analyzed genotypes for the bonobos. N.S. was in charge of behavioral data collection and analysis. N.S., J.M.G. and A.W. collected and analyzed questionnaire data. N.S. and P.H. performed the quantitative genetic analysis. N.S. wrote the manuscript with editing from all coauthors involved.

Additional Information

Supplementary information accompanies this paper at http://www.nature.com/srep

Competing financial interests: The authors declare no competing financial interests.

How to cite this article: Staes, N. *et al.* Bonobo personality traits are heritable and associated with vasopressin receptor gene 1a variation. *Sci. Rep.* **6**, 38193; doi: 10.1038/srep38193 (2016).

Publisher's note: Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

This work is licensed under a Creative Commons Attribution 4.0 International License. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in the credit line; if the material is not included under the Creative Commons license, users will need to obtain permission from the license holder to reproduce the material. To view a copy of this license, visit http://creativecommons.org/licenses/by/4.0/

© The Author(s) 2016